

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	9120562
<b>Application Number:</b>	10092005
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7579
<b>Title of Invention:</b>	System and method for providing liquidity
<b>First Named Inventor/Applicant Name:</b>	Gordon P. Getty
<b>Customer Number:</b>	08791
<b>Filer:</b>	Ryan Elliott
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	8102P001
<b>Receipt Date:</b>	27-DEC-2010
<b>Filing Date:</b>	06-MAR-2002
<b>Time Stamp:</b>	20:54:08
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$960
RAM confirmation Number	3942
Deposit Account	022666
Authorized User	

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	8102P001_sb0030e_fill_RCE_file_12-27-10.pdf	697923 0file0caef15936dabf0031d234d9326c9c1d776649	no	3

### Warnings:

### Information:

2	Miscellaneous Incoming Letter	8102P001_EOT_filed_12-27-10.pdf	13248 4feffca3aa3a6c6bf7ca05e1b19b7b90954dd4b3	no	1
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### Warnings:

### Information:

3		8102P001_RESPONSE_filed_12-27-10.pdf	92183 ea376c91ffbe60531ee95/c51bd7429bb3c0fe	yes	21
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### Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment Submitted/Entered with Filing of CPA/RCE	1	1
Specification	2	2
Claims	3	10
Amendment/Req. Reconsideration-After Non-Final Reject	11	21

### Warnings:

### Information:

4	Oath or Declaration filed	8102P001_Declaration_under132_filed_12-27-10.pdf	650804 00cb1020071813953c13c171af2ff1423bf	no	13
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### Warnings:

### Information:

5	Examination support document	Exhibit_A_A_Survey_of_the_Evidence_on_the_Cost_of_Mutual_Fund_Flows.pdf	109266 20f171c1c4ac03468015ddfb09ca52be54d0aeb	no	5
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### Warnings:

### Information:

6	Examination support document	Exhibit_B_Marketing_the_Benefits_of_ReFlow_to_Investors.pdf	67013 9e35bb1379bc5d7452bf7590a94c135bf589c	no	3
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<b>Warnings:</b>						
<b>Information:</b>						
7	Examination support document	Exhibit_C_American_independence_Funds_Adopt_ReFlow_Liquidity_Service.pdf	73617 f1900beb6236764c398ccddde5319be92f2 80cf	no	3	
<b>Warnings:</b>						
<b>Information:</b>						
8	Examination support document	Exhibit_D_No-Action_Letter.pdf	290690 86b93a1138802d115c6806544945ff6e9bb 3e04H	no	30	
<b>Warnings:</b>						
<b>Information:</b>						
9	Examination support document	Exhibit_E_Getty_to_play_rich_uncle_for_a_price_wwwinvestmentnews.pdf	716450 20240ec11140156180d9140fc16abfbfc1 cb931	no	10	
<b>Warnings:</b>						
<b>Information:</b>						
10	Examination support document	Exhibit_F_Getty_says_his_off-the-wall_fund_idea_will_work.pdf	53397 a3823c476188178895d8fb959036bc4b7 976ff	no	3	
<b>Warnings:</b>						
<b>Information:</b>						
11	Examination support document	Exhibit_G_Gettys_ReFlow_at_take-off_point.pdf	51096 5262e579c3b4cd01bae17a1135b14010f 986ff	no	3	
<b>Warnings:</b>						
<b>Information:</b>						
12	Examination support document	Exhibit_H_Redemptions_Force_More_Funds_to_Liquidate.pdf	391459 1861d0154cd0d91c1ed53803864a1cd5f64 cb24	no	4	
<b>Warnings:</b>						
<b>Information:</b>						
13	Fee Worksheet (PTO-875)	fee-info.pdf	31799 2ee1541450ff4a2d26dd462198016d877ec ab47	no	2	
<b>Warnings:</b>						
<b>Information:</b>						
<b>Total Files Size (in bytes):</b>					3238945	

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.